

IRA Transfer of Assets Form

ABOUT ACCOUNTS WITH EVENTIDE

Eventide Mutual Funds are available to investors directly through accounts offered by Eventide Asset Management in partnership with Constellation Trust, Mutual Fund Series Trust, and Northern Lights Distributors.

Who is this form for?

- **If you want to transfer, convert or roll over assets held in a non-taxable account to a new or existing non-taxable account with Eventide Funds in custody of Constellation Trust. This includes:**
 - Transferring assets directly to a new or existing Eventide Funds IRA
 - Converting from a Traditional IRA to a Roth IRA
 - Transferring from an existing Roth IRA
 - Rolling over a Roth IRA
 - Requesting a direct rollover from an employer sponsored plan

Please complete a separate form for each account you wish to transfer. Transfers may take 3 to 5 weeks to complete after your paperwork is received in good order.

Who is this form NOT for?

- **If you want to transfer assets into a taxable account held with Eventide Funds:**
Instead use the Non-Qualified Transfer of Assets form — see <https://eventidefunds.com/forms/transfer>

What information will I need?

- The most recent statement from the account being transferred, and other basic information about that account
- A Medallion Signature Guarantee if it is required by the account you will transfer from — see Section 5
- If you are transferring into an existing Eventide non-taxable (IRA, Roth IRA, SIMPLE IRA or ESA) account, you'll need information about that account and its owner
- If you want to transfer into a new Eventide account, complete and include the appropriate separate new account application:
 - Traditional IRA — use <https://eventidefunds.com/forms/new-traditional-ira>
 - Roth IRA — use <https://eventidefunds.com/forms/new-roth-ira>
 - SIMPLE IRA for employer contributions — use <https://eventidefunds.com/forms/new-simple-ira>
 - Coverdell Education Savings Account (ESA) — use <https://eventidefunds.com/forms/new-esa>

Filing Instructions

- **Regular Mail:**
Eventide Funds
PO Box 541150
Omaha, NE 68154
- **Overnight Deliveries:**
Eventide Funds
4221 N. 203rd Street, Suite 100
Elkhorn, NE 68022
- **Retain a copy for your records**

For assistance with this form or other questions, please contact Eventide Funds at 877-771-3836 x1.

Important Policies

Eventide Asset Management Privacy Policy Statement

Your privacy is important to us. Eventide is committed to maintaining the confidentiality, integrity and security of your personal information. When you provide personal information, Eventide believes that you should be aware of policies to protect the confidentiality of that information.

The Fund collects the following nonpublic personal information about you:

- Information we receive from you on or in applications or other forms, correspondence, or conversations, including, but not limited to, your name, address, phone number, social security number, assets, income and date of birth; and
- Information about your transactions with us, our affiliates, or others, including, but not limited to, your account number and balance, payments history, parties to transactions, cost basis information, and other financial information.

Eventide does not disclose any nonpublic personal information about our current or former shareholders to nonaffiliated third parties, except as permitted by law. For example, Eventide is permitted by law to disclose all of the information we collect, as described above, to our transfer agent to process your transactions. Furthermore, Eventide restricts access to your nonpublic personal information to those persons who require such information to provide products or services to you. Eventide maintains physical, electronic, and procedural safeguards that comply with federal standards to guard your nonpublic personal information.

In the event that you hold shares of Eventide Mutual Funds through a financial intermediary, including, but not limited to, a broker-dealer, bank, or trust company, the privacy policy of your financial intermediary would govern how your nonpublic personal information would be shared with nonaffiliated third parties.

Anti-Money Laundering

To help the government fight the funding of terrorism and money laundering activities, federal law requires all financial institutions to obtain, verify, and record information that identifies each person who opens an account.

What this means for you: When you open an account, we will ask for your name, address, date of birth, social security number/ Tax ID number and other information that will allow us to identify you. We may also ask to see other identifying documents. Until you provide the information or documents we need, we may not be able to open an account or effect any additional transactions for you.

When opening an account for a foreign business, enterprise or a non-U.S. person that does not have an identification number, we require alternative government-issued documentation certifying the existence of the person, business or enterprise.

For questions about these policies, or for additional copies of the Eventide Asset Management Privacy Policy Statement(s), please contact Eventide Funds at **(877) 771-3836**, www.eventidefunds.com, or at PO Box 541150, Omaha, Nebraska 68154.

1. Eventide Account Information

Owner's Name (First, Middle, Last)

Social Security Number

Primary Legal Address

Date of Birth (mm/dd/yyyy)

City

State

ZIP

Daytime Telephone

Mailing Address (if different)

Evening Telephone

City

State

ZIP

Email Address

This is a new IRA account with Eventide Funds. I have completed and enclosed an IRA Application (available at <https://eventidefunds.com/forms/new-ira>) with this transfer form.

This is an existing IRA. Please apply transfer proceeds to my Eventide / Constellation Trust account number:

2. Current IRA Custodian/Trustee Information

Name of Current Custodian or Trustee

Account Name

Street Address

Account Number

City

State

ZIP

Telephone Number of Current Custodian or Trustee

Fax Number of Current Custodian or Trustee

Please attach a copy of the most recent statement for this account.

3. Transfer Information

Please transfer assets from the above account to Constellation Trust Company. Transfer should be in cash according to the following instructions:

This transfer is a: (check one):

- Full Transfer. Please liquidate all assets in my account.
- Partial Transfer Liquidate \$_____ from my account
- Transfer in kind:
Please transfer _____ shares of

- Fund Name

The type of account I am transferring from is a: (check one)

- Traditional IRA
- Inherited Traditional IRA
- Rollover IRA
- SEP IRA
- Roth IRA
- Inherited Roth IRA
- Roth Conversion IRA
- SIMPLE IRA
- Qualified Retirement Plan (including 401(k))
- 403(b) Plan/Tax Shelter Annuity
- Coverdell ESA

This transfer should be made: (check one)

- Immediately
- Upon maturity (if applicable).
- Maturity date is: _____
(Please return this form 2 weeks prior to maturity)

The type of account I am transferring to is a: (check one)

- Traditional IRA
- Inherited Traditional IRA
- Rollover IRA
- SEP IRA
- Roth IRA
- Inherited Roth IRA
- Roth Conversion IRA
- SIMPLE IRA
- 403(b) Plan
- Coverdell ESA

I understand that the requirements for a valid transfer to a Traditional IRA or Roth IRA are complex and I acknowledge that I have the responsibility for complying with all requirements and for the tax results of any such transfer.

4. Tax Withholding Election

Please complete this section only if you are transferring from another type of IRA to a Roth IRA. Under IRA rules, a transfer of a Traditional IRA, SEP IRA or SIMPLE IRA to a Roth IRA is treated for income tax purposes as a distribution of taxable amounts in the other IRA.

Important: Withholding income taxes from the amount transferred (instead of paying applicable income taxes from another source) may adversely impact the expected financial benefits of transferring from another IRA to a Roth IRA (consult your financial advisor if you have a question). Because of this impact, by electing to convert a Traditional IRA to a Roth IRA, you are deemed to elect no withholding unless you check the box above. In so doing, by signing this form, you acknowledge that you may be required to pay estimated tax and that insufficient payments of estimated tax may result in penalties.

Federal Withholding

Completion and execution of this form, including any federal withholding election made herein, obviates the need to complete a separate Internal Revenue Service Form W-4R. More information about Form W-4R can be found at <https://www.irs.gov/forms-pubs/about-form-w-4r>

Your withholding rate is determined by the type of payment you will receive.

For nonperiodic payments, the default withholding rate is 10%. You can choose to have a different rate by entering a rate between 0% and 100% below. Generally, you can't choose less than 10% for payments to be delivered outside the United States and its territories. (See the attached Form W-4R for more information.)

Complete this section if you would like a rate of withholding that is different from the default withholding rate. See the instructions and the Marginal Rate Tables that follow for additional information. Enter the rate as a whole number (no decimals).

Withhold _____.% for federal income tax

If no withholding information is included, we will automatically withhold the default withholding percentage elected on your account. If you do not have a default withholding election on your account and the above information is left blank, we will automatically apply 10% default withholding to your requested distribution (Federal and State taxes will not be withheld when recharacterizing contributions). Federal taxes will not be withheld from ROTH IRA Distributions unless requested above.

State Withholding

Your state of residence will determine your state income tax withholding requirements, if any. Those states with mandatory withholding may require state income tax to be withheld from payments if federal income taxes are withheld or may mandate a fixed amount regardless of your federal tax election. Voluntary states let individuals determine whether they want state taxes withheld. Some states have no income tax on retirement payments. Please consult with a tax advisor or your state's tax authority for additional information on your state requirements.

State of residence: _____

Withhold _____.% for state income tax

Do not withhold state income tax

Note: State income tax withholding may not be available for all states. If applicable, mandatory state income tax will be withheld from the distribution in accordance with state tax guidelines.

5. Certifications and Signatures

I certify to the current IRA custodian or trustee that I have established a successor Individual Retirement Custodial Account meeting the requirements of the Internal Revenue Code to which assets will be transferred, and I certify to Constellation Trust Company that the account from which assets are being transferred meets the requirements of the Internal Revenue Code and that the transfer satisfies the requirements for nontaxable transaction.

The Internal Revenue Service does not require your consent to any provision of this document other than the certification required to avoid backup withholding.

IRA Owner's Signature

Date (mm/dd/yyyy)

Medallion Signature Guarantee* (if required):

Some Custodians/Trustees require a signature guarantee to transfer assets. Please check with your Custodian/Trustee to see if they require a medallion signature guarantee. Failure to obtain a required signature guarantee may result in a delay in the transfer of assets.

*A signature guarantee can be obtained from a bank, broker-dealer, a credit union, a national securities exchange, savings association or other financial intermediaries that are members of an Approved Medallion Guarantee Program. A signature by a Notary Public is not acceptable as a signature guarantee.

6. Custodian Acceptance

Constellation Trust Company agrees to accept the transfer of the above amount for deposit in a Constellation Trust Company Individual Retirement Custodial Account for the individual set forth in Section 1 of this form, and requests the liquidation and transfer of assets as indicated above.

Accepted by _____

Date (mm/dd/yyyy) _____

7. Transfer instructions

Make check payable to:

Eventide Funds

For Benefit Of (Name) _____

Account Number _____

Mail to:

Eventide Funds

PO Box 541150

Omaha, NE 68154

or

Via Overnight Mail

4221 N. 203rd Street, Suite 100

Elkhorn, NE 68022

TO CONTACT US:

By Telephone

Toll-free (877) 771-3836

In Writing

Eventide Funds

PO Box 541150

Omaha, NE 68154

or

Via Overnight Mail

4221 N. 203rd Street, Suite 100

Elkhorn, NE 68022

Online

WWW.EVENTIDEFUNDS.COM

Distributed by Northern Lights Distributors, LLC

Privacy Notice

MUTUAL FUND SERIES TRUST REVISED JUNE 2011

FACTS	WHAT DOES MUTUAL FUND SERIES TRUST DO WITH YOUR PERSONAL INFORMATION?
Why?	Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some, but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.
What?	<p>The types of personal information we collect and share depends on the product or service that you have with us. This information can include:</p> <ul style="list-style-type: none"> ▪ Social Security number and wire transfer instructions ▪ account transactions and transaction history ▪ investment experience and purchase history <p>When you are no longer our customer, we continue to share your information as described in this notice.</p>
How?	All financial companies need to share customers' personal information to run their everyday business. In the section below, we list the reasons financial companies can share their customers' personal information; the reasons Mutual Fund Series Trust chooses to share; and whether you can limit this sharing.

Reasons we can share your personal information:	Does Mutual Fund Series Trust share information?	Can you limit this sharing?
For our everyday business purposes – such as to process your transactions, maintain your account(s), respond to court orders and legal investigations, or report to credit bureaus.	YES	NO
For our marketing purposes – to offer our products and services to you.	NO	We don't share
For joint marketing with other financial companies.	NO	We don't share
For our affiliates' everyday business purposes – information about your transactions and records.	NO	We don't share
For our affiliates' everyday business purposes – information about your credit worthiness.	NO	We don't share
For our affiliates to market to you	NO	We don't share
For non-affiliates to market to you	NO	We don't share

Privacy Notice

MUTUAL FUND SERIES TRUST REVISED JUNE 2011

What we do

How does Mutual Fund Series Trust protect my personal information?

To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards and secured files and buildings.

Our service providers are held accountable for adhering to strict policies and procedures to prevent any misuse of your nonpublic personal information.

How does Mutual Fund Series Trust collect my personal information?

We collect your personal information, for example, when you

- open an account or deposit money
- direct us to buy securities or direct us to sell your securities
- seek advice about your investments

We also collect your personal information from others, such as credit bureaus, affiliates, or other companies.

Why can't I limit all sharing?

Federal law gives you the right to limit only:

- sharing for affiliates' everyday business purposes – information about your creditworthiness.
- affiliates from using your information to market to you.
- sharing for nonaffiliates to market to you.

State laws and individual companies may give you additional rights to limit sharing.

Definitions

Affiliates

Companies related by common ownership or control. They can be financial and non-financial companies.

- Mutual Fund Series Trust has no affiliates.

Non-affiliates

Companies not related by common ownership or control. They can be financial and non-financial companies.

- Mutual Fund Series Trust does not share with non-affiliates so they can market to you.

Joint marketing

A formal agreement between nonaffiliated financial companies

that together market financial products or services to you.

- Mutual Fund Series Trust does not jointly market.

Questions? Call 1-877-771-3836