

New Account Application

Non-IRA, Non-ESA

ABOUT ACCOUNTS WITH EVENTIDE

Eventide Mutual Funds are available to investors directly through accounts offered by Eventide Asset Management in partnership with Ultimus Fund Services, Mutual Fund Series Trust, and Northern Lights Distributors.

Who is this form for?

- **If you want to invest in any Eventide Mutual Funds by creating a new, taxable (non-retirement) account:**
The account's ownership can be individual, joint, or assigned to a minor (UTMA/UGMA), a trust, a corporation or other entity.

Who is this form NOT for?

- **If you want to set up a Traditional IRA:**
use <https://eventidefunds.com/forms/new-traditional-ira>
- **If you want to set up a Roth IRA:**
use <https://eventidefunds.com/forms/new-roth-ira>
- **If you want to set up a SIMPLE IRA for employer contributions:**
use <https://eventidefunds.com/forms/new-simple-ira>
- **If you want to set up a Coverdell Education Savings Account :**
use <https://eventidefunds.com/forms/new-esa>

What information will I need?

- Name, Address, Social Security Number (or Tax ID Number), and Date of Birth of the account owner(s)
- The amount of the initial investment
- A check to Eventide Funds for the initial investment (unless you will wire money — see Section 3)
- A voided check if setting up an Automatic Investment Plan (see Section 6).
- If you are transferring holdings from another non-retirement account, you will also need to complete the Non-Qualified Transfer of Assets Form — use <https://eventidefunds.com/forms/transfer>

Filing Instructions

- **Regular Mail:**
Eventide Funds
PO Box 541150
Omaha, NE 68154
- **Overnight Mail:**
Eventide Funds
4221 N. 203rd Street, Suite 100
Elkhorn, NE 68022
- **Retain a copy for your records**
- After you have mailed the form, you will receive confirmation and account information at the postal address you specify in Section 1.

For assistance with this form or other questions, please contact Eventide Funds at 877-771-3836 x1.

Important Policies

Eventide Asset Management Privacy Policy Statement

Your privacy is important to us. Eventide is committed to maintaining the confidentiality, integrity and security of your personal information. When you provide personal information, Eventide believes that you should be aware of policies to protect the confidentiality of that information.

Eventide collects the following nonpublic personal information about you:

- Information we receive from you on or in applications or other forms, correspondence, or conversations, including, but not limited to, your name, address, phone number, social security number, assets, income and date of birth; and
- Information about your transactions with us, our affiliates, or others, including, but not limited to, your account number and balance, payments history, parties to transactions, cost basis information, and other financial information.

Eventide does not disclose any nonpublic personal information about our current or former shareholders to nonaffiliated third parties, except as permitted by law. For example, Eventide is permitted by law to disclose all of the information we collect, as described above, to our transfer agent to process your transactions. Furthermore, Eventide restricts access to your nonpublic personal information to those persons who require such information to provide products or services to you. Eventide maintains physical, electronic, and procedural safeguards that comply with federal standards to guard your nonpublic personal information.

In the event that you hold shares of Eventide Mutual Funds through a financial intermediary, including, but not limited to, a broker-dealer, bank, or trust company, the privacy policy of your financial intermediary would govern how your nonpublic personal information would be shared with nonaffiliated third parties.

Anti-Money Laundering

To help the government fight the funding of terrorism and money laundering activities, federal law requires all financial institutions to obtain, verify, and record information that identifies each person who opens an account.

What this means for you: When you open an account, we will ask for your name, address, date of birth, social security number/ Tax ID number and other information that will allow us to identify you. We may also ask to see other identifying documents. Until you provide the information or documents we need, we may not be able to open an account or effect any additional transactions for you.

When opening an account for a foreign business, enterprise or a non-U.S. person that does not have an identification number, we require alternative government-issued documentation certifying the existence of the person, business or enterprise.

For questions about these policies, or for additional copies of the Eventide Asset Management Privacy Policy Statement, please contact Eventide at **(877) 771-3836** or **eventidefunds.com** or at **P.O. Box 541150 Omaha, NE 68154**.

NEW ACCOUNT APPLICATION (NON-IRA, NON-ESA)

D. CORPORATIONS OR OTHER ENTITIES (Include a copy of one of the following documents: registered articles of incorporation, government-issued business license, partnership papers, plan documents or other official documentation that verifies the entity and lists the authorized individuals. Failure to provide this documentation may result in a delay in processing your application.)

C Corporation S Corporation Corporation Partnership Government Entity

Other (please specify) _____

If no classification is provided, per IRS regulations, your account will default to an S Corporation.

Name of Corporation or Other Business Tax ID Number Email

Authorized Individual Social Security Number Date of Birth (mm/dd/yyyy)

Co-Authorized Individual Social Security Number Date of Birth (mm/dd/yyyy)

E. ESTATE INFORMATION (Include a copy of a probate document indicating the name of the Executor of the Estate, such as Letters Testamentary or Letters of Administration.)

Name of Estate Estate Tax ID Number

Executor Executor Social Security Number

Executor Date of Birth Executor Email

Co-Executor Co-Executor Social Security Number

Co-Executor Date of Birth Co-Executor Email

2. Mailing and Contact Information

LEGAL ADDRESS (Must be a street address)

Street Address City State ZIP

Daytime Telephone Evening Telephone

Please send mail to the address below. Please provide your primary legal address above, in addition to any mailing address (if different).

Mailing Address City State ZIP

3. Initial Investment

Please read the prospectus of the Funds selected, available at <https://eventidefunds.com/prospectus> before investing.

I acknowledge that I have sole responsibility for my investment choices and that I have received a current prospectus for each class I select.

Which share class should I select?

Each Eventide Fund is available in different share classes meant for specific investor types:

- **If you are investing directly**
Select **Class I**
- **If you investing with help from a Registered Investment Advisor or other Financial Intermediary**
Select **Class I, Class A, Class C** or **Class N** based on your advisor’s suggestion and complete Section 10 (Dealer/Registered Investment Advisor Information)

	Amount (\$ or % of total)		Through an Investment Advisor / Intermediary (Minimum Investment of \$1,000)		
			Class A	Class C	Class N
Eventide Core Bond Fund	_____	Class I	Class A	Class C	Class N
Eventide Dividend Opportunities Fund	_____	Class I	Class A	Class C	Class N
Eventide Exponential Technologies Fund	_____	Class I	Class A	Class C	Class N
Eventide Gilead Fund	_____	Class I	Class A	Class C	Class N
Eventide Healthcare & Life Sciences Fund	_____	Class I	Class A	Class C	Class N
Eventide Large Cap Focus Fund	_____	Class I	Class A	Class C	Class N
Eventide Limited-Term Bond Fund	_____	Class I	Class A	Class C	Class N
Eventide Multi-Asset Income Fund	_____	Class I	Class A	Class C	Class N
Total	\$ _____				

Make check payable to **Eventide Funds**. Third Party Checks are not acceptable. ACH is not allowed for an initial investment.

If investing by wire: Call **(877) 771-3836** and indicate the amount of the wire \$ _____

4. Dividend and Capital Gain Distributions

All dividends and capital gains will be reinvested in shares of the Eventide Mutual Funds that pay them unless this box is checked.

Please pay all dividends and capital gains with a check mailed to my address of record.

Please pay all dividends to my bank account. Your bank must be a member of the Automated Clearing House (ACH). If you choose this option, please complete **Section 8 and attach a voided check.**

5. Reduced Sales Charge (For A Shares Only)

Complete this section if you qualify for a reduced sales charge. For Terms & Conditions, see the Eventide Funds' Prospectus, available at <https://eventidefunds.com/prospectus>.

Letter of Intent

You can reduce the sales charge you pay on Class A shares by investing a certain amount over a 13-month period. Please indicate the total amount you intend to invest over the next 13 months.

\$50,000 \$100,000 \$250,000 \$500,000 \$1,000,000

Rights of Accumulation

If you already own Class A shares of Eventide Mutual Funds, you may already be eligible for a reduced sales charge on Class A share purchases. Please provide the account number(s) below to qualify (if eligible).

Account Number

Account Number

Account Number

Account Number

Net Asset Value (NAV). *I have read the prospectus (see <https://eventidefunds.com/prospectus>) and qualify for a complete waiver of the sales charge on Class A shares. Registered representatives may complete the Dealer Information section as proof of eligibility.*

Reason for Waiver: _____

6. Automatic Investment Plan (AIP)

An AIP allows you to add regularly to your Eventide Mutual Funds holdings by authorizing us to deduct money directly from your checking account every month. Your bank must be a member of the Automated Clearing House (ACH). If you choose this option, please complete **Section 8 and attach a voided check.**

Investment Options: If you do not specify investment amounts per mutual fund below, your AIP investments will be made proportionately across all of the funds held in the account.

	Amount (\$ or % of total)		Through an Investment Advisor / Intermediary		
Eventide Core Bond Fund	_____	Class I	Class A	Class C	Class N
Eventide Dividend Opportunities Fund	_____	Class I	Class A	Class C	Class N
Eventide Exponential Technologies Fund	_____	Class I	Class A	Class C	Class N
Eventide Gilead Fund	_____	Class I	Class A	Class C	Class N
Eventide Healthcare & Life Sciences Fund	_____	Class I	Class A	Class C	Class N
Eventide Large Cap Focus Fund	_____	Class I	Class A	Class C	Class N
Eventide Limited-Term Bond Fund	_____	Class I	Class A	Class C	Class N
Eventide Multi-Asset Income Fund	_____	Class I	Class A	Class C	Class N

Transfer to Eventide Funds this total: \$ _____ **(\$100 minimum) from my bank account:**

Monthly **Quarterly on the** _____ **day of the month** **Beginning:** ____ / ____ / ____

Important Note: If the AIP date falls on a holiday or weekend the deduction from your checking or savings account will occur on the next business day.

If no day is specified, the draft will be made on the 25th day of the month or the following business day if the 25th falls on a weekend or holiday. If no month is specified, the draft will start in the month received if it is at least 5 days prior to day selected, otherwise it will be the following month.

7. Systematic Withdrawal Plan (SWP)

A SWP allows you to withdraw a regular dollar amount from your Eventide Funds holdings.

Important: If you do not specify withdrawal amounts per mutual fund, your SWP withdrawals will be made proportionately across all the funds held in the account

	Amount (\$ or % of total)		Through an Investment Advisor / Intermediary		
Eventide Core Bond Fund	_____	Class I	Class A	Class C	Class N
Eventide Dividend Opportunities Fund	_____	Class I	Class A	Class C	Class N
Eventide Exponential Technologies Fund	_____	Class I	Class A	Class C	Class N
Eventide Gilead Fund	_____	Class I	Class A	Class C	Class N
Eventide Healthcare & Life Sciences Fund	_____	Class I	Class A	Class C	Class N
Eventide Large Cap Focus Fund	_____	Class I	Class A	Class C	Class N
Eventide Limited-Term Bond Fund	_____	Class I	Class A	Class C	Class N
Eventide Multi-Asset Income Fund	_____	Class I	Class A	Class C	Class N

Withdraw from Eventide Funds this total: \$ _____ **exact dollars per period:**

Send checks: Monthly Quarterly Beginning: _____ / _____ / _____

Send checks to: Address of record Bank of record **(See section 8)** Following Payee

Name

Daytime Telephone

Evening Telephone

Address

City

State

ZIP

8. Bank Information

I authorize Eventide Funds to purchase shares through the Automatic Investment Plan by the Automated Clearing House of which my bank is a member.

Type of account: Checking Savings

Name on Bank Account

Bank Account Number

Bank Name

Bank Routing/ABA Number

Bank Address

City

State

ZIP

Please attach a voided check from your account.

9. Cost Basis Method

Note: The default cost basis calculation method for your new account will be Average Cost. If you wish to elect a different cost basis method, please contact Eventide Funds to complete a Cost Basis Election Form.

10. Dealer/Registered Investment Advisor Information

If opening your account through a Broker/Dealer or Registered Investment Advisor, please have them complete this section.

Dealer Name

Representative's Last Name

Representative's First Name

Dealer Head Office

Representative's Branch Office

Address

Address

City

State

ZIP

City

State

ZIP

Telephone Number

Rep Telephone Number

Rep ID Number

Email Address

Rep Email Address

Branch ID Number

Branch Telephone Number (if different than Rep Phone Num-)

11. State Escheatment Laws

Escheatment laws adopted by various states require that personal property that is deemed to be abandoned or ownerless, including mutual fund shares and bank deposits, be transferred to the state. Under such laws, ownership of your Eventide Mutual Fund shares may be transferred to the appropriate state if no activity occurs in your account within the time period specified by applicable state law. Eventide Funds retains a search service to track down missing shareholders and will escheat an account only after several attempts to locate the shareholder have failed. To avoid this from happening to your account, please keep track of your account and promptly inform Eventide Funds of any change in your address.

12. Signature(s) & Certification (Required)

We must have signatures to process your Application and to certify your Taxpayer Identification number. IRS regulations require your signature to avoid any backup withholding.

W-9 Certification: Under penalty of perjury:

- (a) *I certify that the number shown on this form is my/our current Social Security number(s) or Taxpayer Identification number(s).*
- (b) *I am not subject to backup withholding because; (1) I am exempt from backup withholding, or (2) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of failure to report all interest or dividends, or (3) the IRS has notified me that I am no longer subject to backup withholding.*
- (c) *I am a U.S. person (including a resident alien.)*
- (d) *I am exempt from FATCA reporting.*

To help the government fight the funding of terrorism and money laundering activities, federal law requires all financial institutions to obtain, verify, and record information that identifies each person who opens an account.

What this means for you: When you open an account, we will ask for your name, address, date of birth, social security number/ Tax ID number and other information that will allow us to identify you. We may also ask to see other identifying documents. Until you provide the information or documents we need, we may not be able to open an account or effect any additional transactions for you.

When opening an account for a foreign business, enterprise or a non-U.S. person that does not have an identification number, we require alternative government-issued documentation certifying the existence of the person, business or enterprise.

The undersigned represents and warrants that:

- *I have full authority and am of legal age to purchase shares of the Fund(s);*
- *I have received and read a current prospectus for Eventide Funds (available at <https://eventidefunds.com/prospectus>) and agree to be bound by the terms contained therein; and*
- *The information contained on this New Account Application is complete and accurate.*

If Fund shares are being purchased on behalf of an Investment Company (as that term is defined under the Investment Company Act of 1940), I hereby certify that said Investment Company will limit its ownership to 3% or less of the Fund's outstanding shares.

The Internal Revenue Service does not require your consent to any provision of this document other than the certification required to avoid backup withholding.

Signature of owner (or custodian)

Date

Signature of joint owner (or corporate officer, partner or other)

Date

Trustee (if applicable)

Date

TO CONTACT US:

By Telephone

Toll-free (877) 771-3836

In Writing

Eventide Funds
PO Box 541150
Omaha, NE 68154
or
Via Overnight Mail
4221 N. 203rd Street, Suite 100
Elkhorn, NE 68022

Online

WWW.EVENTIDEFUNDS.COM

Distributed by Northern Lights Distributors, LLC

Privacy Notice

MUTUAL FUND SERIES TRUST REVISED JUNE 2011

FACTS	WHAT DOES MUTUAL FUND SERIES TRUST DO WITH YOUR PERSONAL INFORMATION?
Why?	Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some, but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.
What?	<p>The types of personal information we collect and share depends on the product or service that you have with us. This information can include:</p> <ul style="list-style-type: none"> ▪ Social Security number and wire transfer instructions ▪ account transactions and transaction history ▪ investment experience and purchase history <p>When you are no longer our customer, we continue to share your information as described in this notice.</p>
How?	All financial companies need to share customers' personal information to run their everyday business. In the section below, we list the reasons financial companies can share their customers' personal information; the reasons Mutual Fund Series Trust chooses to share; and whether you can limit this sharing.

Reasons we can share your personal information:	Does Mutual Fund Series Trust share information?	Can you limit this sharing?
For our everyday business purposes – such as to process your transactions, maintain your account(s), respond to court orders and legal investigations, or report to credit bureaus.	YES	NO
For our marketing purposes – to offer our products and services to you.	NO	We don't share
For joint marketing with other financial companies.	NO	We don't share
For our affiliates' everyday business purposes – information about your transactions and records.	NO	We don't share
For our affiliates' everyday business purposes – information about your credit worthiness.	NO	We don't share
For our affiliates to market to you	NO	We don't share
For non-affiliates to market to you	NO	We don't share

Privacy Notice

MUTUAL FUND SERIES TRUST REVISED JUNE 2011

What we do

How does Mutual Fund Series Trust protect my personal information?

To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards and secured files and buildings.

Our service providers are held accountable for adhering to strict policies and procedures to prevent any misuse of your nonpublic personal information.

How does Mutual Fund Series Trust collect my personal information?

We collect your personal information, for example, when you

- open an account or deposit money
- direct us to buy securities or direct us to sell your securities
- seek advice about your investments

We also collect your personal information from others, such as credit bureaus, affiliates, or other companies.

Why can't I limit all sharing?

Federal law gives you the right to limit only:

- sharing for affiliates' everyday business purposes – information about your creditworthiness.
- affiliates from using your information to market to you.
- sharing for nonaffiliates to market to you.

State laws and individual companies may give you additional rights to limit sharing.

Definitions

Affiliates

Companies related by common ownership or control. They can be financial and non-financial companies.

- Mutual Fund Series Trust has no affiliates.

Non-affiliates

Companies not related by common ownership or control. They can be financial and non-financial companies.

- Mutual Fund Series Trust does not share with non-affiliates so they can market to you.

Joint marketing

A formal agreement between nonaffiliated financial companies

that together market financial products or services to you.

- Mutual Fund Series Trust does not jointly market.

Questions? Call 1-877-771-3836