

New Coverdell Education Savings Account (“ESA”) Application

ABOUT ACCOUNTS WITH EVENTIDE

Eventide Mutual Funds are available to investors directly through accounts offered by Eventide Asset Management in partnership with Constellation Trust, Mutual Fund Series Trust, and Northern Lights Distributors.

Who is this form for?

- **If you want to invest in any Eventide Mutual Funds by setting up a new Education Savings Account:**
The account will invest in one or more Eventide Mutual Funds, funded by a check or bank transfer, or by transfer or rollover of an existing ESA account.
- This application must be preceded or accompanied by a current IRA Disclosure Statement and Custodial Agreement (available at <https://eventidefunds.com/forms/disclosure>). Review this statement before filling out the form.

Who is this form NOT for?

- **If you want to set up a standard taxable account:**
use <https://eventidefunds.com/forms/new-account>
- **If you want to set up a Regular or Roth IRA:**
use <https://eventidefunds.com/forms/new-ira>
- **If you want to set up a SIMPLE IRA for employer contributions:**
use <https://eventidefunds.com/forms/new-simple-ira>

What information will I need?

- Name, Address, Social Security Number (or Tax ID Number), and Date of Birth of the account’s designated beneficiary, their parent or guardian, the person who will fund the account
- The amount of initial investment, information on how the account will be funded
- Proof of guardianship, if the account’s responsible individual is not the beneficiary’s parent
- A check to Eventide Funds for the initial investment (unless you will wire money — see Section 5)
- A voided check (if setting up an Automatic Investment Plan — see Section 7)
- If you want to transfer holdings from another ESA, you should also complete the Transfer of Assets Form — use <https://eventidefunds.com/forms/transfer-ira>

Filing Instructions

- **Regular Mail:**
Eventide Funds
PO Box 541150
Omaha, NE 68154
- **Overnight Mail:**
Eventide Funds
4221 N. 203rd Street, Suite 100
Elkhorn, NE 68022
- **Retain a copy for your records**
- After you have mailed the form, you will receive confirmation and account information at the postal address you specify in Section 2. The new ESA’s custodian will be Constellation Trust.

For assistance with this form or other questions, please contact Eventide Funds at 877-771-3836 x1.

Important Policies

Custody Fee

The Custody Fee is \$15 annually per account. The Custody Fee may be increased in the future. You will be notified in writing 90 days prior to any fee increases.

Eventide Asset Management Privacy Policy Statement

Your privacy is important to us. Eventide is committed to maintaining the confidentiality, integrity and security of your personal information. When you provide personal information, Eventide believes that you should be aware of policies to protect the confidentiality of that information.

Eventide collects the following nonpublic personal information about you:

- Information we receive from you on or in applications or other forms, correspondence, or conversations, including, but not limited to, your name, address, phone number, social security number, assets, income and date of birth; and
- Information about your transactions with us, our affiliates, or others, including, but not limited to, your account number and balance, payments history, parties to transactions, cost basis information, and other financial information.

Eventide does not disclose any nonpublic personal information about our current or former shareholders to nonaffiliated third parties, except as permitted by law. For example, Eventide is permitted by law to disclose all of the information we collect, as described above, to our transfer agent to process your transactions. Furthermore, Eventide restricts access to your nonpublic personal information to those persons who require such information to provide products or services to you. Eventide maintains physical, electronic, and procedural safeguards that comply with federal standards to guard your nonpublic personal information.

In the event that you hold shares of Eventide Mutual Funds through a financial intermediary, including, but not limited to, a broker-dealer, bank, or trust company, the privacy policy of your financial intermediary would govern how your nonpublic personal information would be shared with nonaffiliated third parties.

Anti-Money Laundering

To help the government fight the funding of terrorism and money laundering activities, federal law requires all financial institutions to obtain, verify, and record information that identifies each person who opens an account.

What this means for you: When you open an account, we will ask for your name, address, date of birth, social security number/ Tax ID number and other information that will allow us to identify you. We may also ask to see other identifying documents. Until you provide the information or documents we need, we may not be able to open an account or effect any additional transactions for you.

When opening an account for a foreign business, enterprise or a non-U.S. person that does not have an identification number, we require alternative government-issued documentation certifying the existence of the person, business or enterprise.

For questions about these policies, or for additional copies of the Eventide Asset Management Privacy Policy Statement, please contact Eventide at **(877) 771-3836** or **www.eventidefunds.com** or at **P.O. Box 541150 Omaha, NE 68154**.

1. Designated Beneficiary

The account generally cannot accept contributions after the beneficiary's 18th birthday

Name (First, Middle, Last)

Social Security Number

Street Address

Date of Birth (mm/dd/yyyy)

City

State

ZIP

Please send mail to the address below. Please provide your primary legal address above, in addition to any mailing address (if different).

Mailing Address

City

State

ZIP

2. Responsible Individual

Must be a parent or guardian of the Designated Beneficiary. If guardian is selected, you must provide proof of guardianship.

Mother

Father

Guardian

Name (First, Middle, Last)

Social Security Number

Street Address

Date of Birth (mm/dd/yyyy)

City

State

ZIP

Daytime Telephone

Email address

Evening Telephone

3. Donor Information

To be completed if donor is not the Responsible Individual identified in Section 2 above.

Name (First, Middle, Last)

Social Security Number

Street Address

Date of Birth (mm/dd/yyyy)

City

State

ZIP

Daytime Telephone

Email address

Evening Telephone

4. Amendments to the Custodial Agreement

You may select any of the below provisions by marking the corresponding box. These provisions change the standard provisions contained in the Custodial Agreement. Please refer to your Custodial Agreement.

The Responsible Individual shall have authority to change the Designated Beneficiary at any time to a Family Member of the Designated Beneficiary.

The Responsible Individual shall continue to serve as the Responsible Individual for the Coverdell ESA account after the Designated Beneficiary attains the age of majority under state law and until such time as all assets have been distributed from the Coverdell ESA account and the Coverdell ESA account terminates. If the Responsible Individual becomes incapacitated or dies after the Designated Beneficiary reaches the age of majority under state law, the Responsible Individual shall be the Designated Beneficiary.

5. Initial Investment

Maximum annual contribution to an ESA is \$2,000 per year, per child, subject to certain income limitations. Please read the prospectus of the Funds selected, available at <https://eventidefunds.com/prospectus> before investing.

I acknowledge that I have sole responsibility for my investment choices and that I have received a current prospectus for each class I select.

Which share class should I select?

Each Eventide Fund is available in different share classes meant for specific investor types:

- **If you are investing directly** select **Class I**
- **If you investing with help from a Registered Investment Advisor or other Financial Intermediary**
Select **Class I, Class A, Class C** or **Class N** based on your advisor’s suggestion and complete Section 8 (Dealer/Registered Investment Advisor Information)

			Through an Investment Advisor / Intermediary (Minimum Investment of \$1,000)		
			Class A	Class C	Class N
Eventide Core Bond Fund	\$ _____	Class I	Class A	Class C	Class N
Eventide Dividend Opportunities Fund	\$ _____	Class I	Class A	Class C	Class N
Eventide Exponential Technologies Fund	\$ _____	Class I	Class A	Class C	Class N
Eventide Gilead Fund	\$ _____	Class I	Class A	Class C	Class N
Eventide Healthcare & Life Sciences Fund	\$ _____	Class I	Class A	Class C	Class N
Eventide Large Cap Focus Fund	\$ _____	Class I	Class A	Class C	Class N
Eventide Limited-Term Bond Fund	\$ _____	Class I	Class A	Class C	Class N
Eventide Multi-Asset Income Fund	\$ _____	Class I	Class A	Class C	Class N
Total	\$ _____				

Make check payable to **Eventide Funds**. Third Party Checks are not acceptable. ACH is not allowed for an initial investment.

If investing by wire: Call **(877) 771-3836** and indicate the amount of the wire \$ _____

Contribution for tax year 20____ Amount: \$ _____

I am enclosing a check for \$ _____ representing a rollover (within 60 days) from another ESA.

Transfer of Assets from an existing ESA. (Complete the separate Transfer of Assets Form).

6. Reduced Sales Charge

Complete this section if you qualify for a reduced sales charge. For Terms & Conditions, see the Eventide Funds' Prospectus, available at <https://eventidefunds.com/prospectus>.

Letter of Intent

You can reduce the sales charge you pay on Class A shares by investing a certain amount over a 13-month period. Please indicate the total amount you intend to invest over the next 13- months.

\$50,000 \$100,000 \$250,000 \$500,000 \$1,000,000 or more

Rights of Accumulation

If you already own Class A shares of the Eventide Funds, you may already be eligible for a reduced sales charge on Class A share purchases. Please provide the account number(s) below to qualify (if eligible).

Account Number

Account Number

Account Number

Account Number

Net Asset Value (NAV). *I have read the prospectus and qualify for a complete waiver of the sales charge on Class A shares. Registered representatives may complete the Dealer Information section as proof of eligibility.*

Reason for Waiver: _____

7. Automatic Investment Plan (AIP)

An AIP allows you to add regularly to your Eventide Mutual Funds holdings by authorizing us to deduct money directly from your checking account every month. Your bank must be a member of the Automated Clearing House (ACH). If you choose this option, please complete **Section 8 and attach a voided check.**

Investment Options: If you do not specify investment amounts per mutual fund below, your AIP investments will be made proportionately across all of the funds held in the account.

			Through an Investment Advisor / Intermediary		
Eventide Core Bond Fund	\$ _____	Class I	Class A	Class C	Class N
Eventide Dividend Opportunities Fund	\$ _____	Class I	Class A	Class C	Class N
Eventide Exponential Technologies Fund	\$ _____	Class I	Class A	Class C	Class N
Eventide Gilead Fund	\$ _____	Class I	Class A	Class C	Class N
Eventide Healthcare & Life Sciences Fund	\$ _____	Class I	Class A	Class C	Class N
Eventide Large Cap Focus Fund	\$ _____	Class I	Class A	Class C	Class N
Eventide Limited-Term Bond Fund	\$ _____	Class I	Class A	Class C	Class N
Eventide Multi-Asset Income Fund	\$ _____	Class I	Class A	Class C	Class N

Transfer to Eventide Funds this total: \$ _____ **(\$100 minimum) from my bank account:**

Monthly **Quarterly on the** _____ **day of the month** **Beginning:** ____ / ____ / ____

Important Note: If the AIP date falls on a holiday or weekend the deduction from your checking or savings account will occur on the next business day.

If no day is specified, the draft will be made on the 25th day of the month or the following business day if the 25th falls on a weekend or holiday. If no month is specified, the draft will start in the month received if it is at least 5 days prior to day selected, otherwise it will be the following month.

8. Bank Information

I authorize Eventide Funds to purchase shares through the Automatic Investment Plan by the Automated Clearing House of which my bank is a member.

Type of account: Checking Savings

Name on Bank Account

Bank Account Number

Bank Name

Bank Routing/ABA Number

Bank Address

City

State

ZIP

Please attach a voided check from your account.

9. Dealer/Registered Investment Advisor Information

If opening your account through a Broker/Dealer or Registered Investment Advisor, please have them complete this section.

Dealer Name

Representative’s Last Name

Representative’s First Name

Dealer Head Office

Representative’s Branch Office

Address

Address

City

State

ZIP

City

State

ZIP

Telephone Number

Rep Telephone Number

Rep ID Number

Email Address

Rep Email Address

Branch ID Number

Branch Telephone Number (if different than Rep Telephone)

10. State Escheatment Laws

Escheatment laws adopted by various states require that personal property that is deemed to be abandoned or ownerless, including mutual fund shares and bank deposits, be transferred to the state. Under such laws, ownership of your Eventide Mutual Funds shares may be transferred to the appropriate state if no activity occurs in your account within the time period specified by applicable state law. Eventide retains a search service to track down missing shareholders and will escheat an account only after several attempts to locate the shareholder have failed. To avoid this from happening to your account, please keep track of your account and promptly inform Eventide of any change in your address.

11. Signatures & Certification

I hereby certify that I understand the eligibility requirements for an Education Savings Account (“ESA”) and I qualify to establish an ESA. I have received a copy of the Application, Custodial Agreement and Disclosure Statement. I understand that the terms and conditions, which apply to this Coverdell ESA are contained in this Application and Custodial Agreement(s) and I agree to be bound by those terms and conditions. I hereby appoint and authorize Constellation Trust Company as the Custodian and Ultimus Fund Services, LLC to act as the Custodian’s agent. I agree to indemnify Constellation Trust Company and Ultimus Fund Services, LLC when making distributions in accordance with my beneficiary designation on file or in accordance with the Custodial Account Agreement absent such designation. I understand that within seven (7) days from the date I open this Coverdell ESA, I may revoke it without penalty by mailing or delivering written notice to the Custodian’s agent. I have received a copy of the Eventide Funds Prospectus and understand that this investment is not FDIC insured.

I assume complete responsibility for:

1. *Determining that I am eligible for a Coverdell ESA;*
2. *Ensuring that all contributions I make are within the limits set forth by the tax laws; and*
3. *The tax consequences of any contribution (including rollover contributions) and distributions.*
4. *I have received and read a current prospectus for Eventide Funds and agree to be bound by the terms contained therein.*
5. *The information contained on this ESA Account Application is complete and accurate.*

W-9 Certification: Under penalty of perjury:

- (a) *I certify that the number shown on this form is my/our current Social Security number(s) or Taxpayer Identification number(s).***
- (b) *I am not subject to backup withholding because; (1) I am exempt from backup withholding, or (2) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of failure to report all interest or dividends, or (3) the IRS has notified me that I am no longer subject to backup withholding.***
- (c) *I am a U.S. person (including a resident alien.) The Internal Revenue Service does not require your consent to any provision of this document other than the certification required to avoid backup withholding.***
- (d) *I am exempt from FATCA reporting.***

Certification Instructions. You must cross out item (b) above if you have been notified by the IRS that you are currently subject to backup withholding because you have failed to report all interest and dividends on your tax return.

The Internal Revenue Service does not require your consent to any provision of this document other than the certifications required to avoid backup withholding.

Signature of Responsible Individual

Date (mm/dd/yyyy)

Signature of Donor

Date (mm/dd/yyyy)

Authorized Signature of Custodian

Date (mm/dd/yyyy)

TO CONTACT US:

By Telephone

Toll-free (877) 771-3836

In Writing

Eventide Funds
PO Box 541150
Omaha, NE 68154
or
Via Overnight Mail
4221 N. 203rd Street, Suite 100
Elkhorn, NE 68022

Online

WWW.EVENTIDEFUNDS.COM

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Privacy Notice

MUTUAL FUND SERIES TRUST REVISED JUNE 2011

FACTS	WHAT DOES MUTUAL FUND SERIES TRUST DO WITH YOUR PERSONAL INFORMATION?
Why?	Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some, but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.
What?	<p>The types of personal information we collect and share depends on the product or service that you have with us. This information can include:</p> <ul style="list-style-type: none"> ▪ Social Security number and wire transfer instructions ▪ account transactions and transaction history ▪ investment experience and purchase history <p>When you are no longer our customer, we continue to share your information as described in this notice.</p>
How?	All financial companies need to share customers’ personal information to run their everyday business. In the section below, we list the reasons financial companies can share their customers’ personal information; the reasons Mutual Fund Series Trust chooses to share; and whether you can limit this sharing.

Reasons we can share your personal information:	Does Mutual Fund Series Trust share information?	Can you limit this sharing?
For our everyday business purposes – such as to process your transactions, maintain your account(s), respond to court orders and legal investigations, or report to credit bureaus.	YES	NO
For our marketing purposes – to offer our products and services to you.	NO	We don’t share
For joint marketing with other financial companies.	NO	We don’t share
For our affiliates’ everyday business purposes – information about your transactions and records.	NO	We don’t share
For our affiliates’ everyday business purposes – information about your credit worthiness.	NO	We don’t share
For our affiliates to market to you	NO	We don’t share
For non-affiliates to market to you	NO	We don’t share

Privacy Notice

MUTUAL FUND SERIES TRUST REVISED JUNE 2011

What we do

How does Mutual Fund Series Trust protect my personal information?

To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards and secured files and buildings.

Our service providers are held accountable for adhering to strict policies and procedures to prevent any misuse of your nonpublic personal information.

How does Mutual Fund Series Trust collect my personal information?

We collect your personal information, for example, when you

- open an account or deposit money
- direct us to buy securities or direct us to sell your securities
- seek advice about your investments

We also collect your personal information from others, such as credit bureaus, affiliates, or other companies.

Why can't I limit all sharing?

Federal law gives you the right to limit only:

- sharing for affiliates' everyday business purposes – information about your creditworthiness.
- affiliates from using your information to market to you.
- sharing for nonaffiliates to market to you.

State laws and individual companies may give you additional rights to limit sharing.

Definitions

Affiliates

Companies related by common ownership or control. They can be financial and non-financial companies.

- Mutual Fund Series Trust has no affiliates.

Non-affiliates

Companies not related by common ownership or control. They can be financial and non-financial companies.

- Mutual Fund Series Trust does not share with non-affiliates so they can market to you.

Joint marketing

A formal agreement between nonaffiliated financial companies

that together market financial products or services to you.

- Mutual Fund Series Trust does not jointly market.

Questions? Call 1-877-771-3836