

Non-Qualified Transfer of Assets Form

ABOUT ACCOUNTS WITH EVENTIDE

Eventide Mutual Funds are available to investors directly through accounts offered by Eventide Asset Management in partnership with Ultimus Fund Solutions, Mutual Fund Series Trust, and Northern Lights Distributors.

Who is this form for?

- **If you want to transfer assets held in a taxable (non-retirement) account directly to a new or existing taxable (non-retirement) account with Eventide Funds in custody of Constellation Trust.**
Please complete a separate form for each account you wish to transfer. Transfers may take 3 to 5 weeks to complete after your paperwork is received in good order.

Who is this form NOT for?

- **If you want to transfer assets into a regular IRA, Roth IRA, SIMPLE IRA, Coverdell ESA, or other non-taxable account:**
Instead use the IRA Transfer of Assets form - see <https://eventidefunds.com/forms/transfer-ira>

What information will I need?

- The most recent statement from the account being transferred, and other basic information about that account
- A Medallion Signature Guarantee if it is required by the account you will transfer from — see Section 4
- If you are transferring into an existing Eventide taxable (non-qualified) account, you'll need information about that account and its owner
- If you want to transfer into a new Eventide account, complete the separate New Account Application — use <https://eventidefunds.com/forms/new-account>

Filing Instructions

- **Regular Mail:**
Eventide Funds
PO Box 541150
Omaha, NE 68154
- **Overnight Mail:**
Eventide Funds
4221 N. 203rd Street, Suite 100
Elkhorn, NE 68022
- **Retain a copy for your records**

For assistance with this form or other questions, please contact Eventide Funds at 877-771-3836 x1.

Important Policies

Eventide Asset Management Privacy Policy Statement

Your privacy is important to us. Eventide is committed to maintaining the confidentiality, integrity and security of your personal information. When you provide personal information, Eventide believes that you should be aware of policies to protect the confidentiality of that information.

Eventide collects the following nonpublic personal information about you:

- Information we receive from you on or in applications or other forms, correspondence, or conversations, including, but not limited to, your name, address, phone number, social security number, assets, income and date of birth; and
- Information about your transactions with us, our affiliates, or others, including, but not limited to, your account number and balance, payments history, parties to transactions, cost basis information, and other financial information.

Eventide does not disclose any nonpublic personal information about our current or former shareholders to nonaffiliated third parties, except as permitted by law. For example, Eventide is permitted by law to disclose all of the information we collect, as described above, to our transfer agent to process your transactions. Furthermore, Eventide restricts access to your nonpublic personal information to those persons who require such information to provide products or services to you. Eventide maintains physical, electronic, and procedural safeguards that comply with federal standards to guard your nonpublic personal information.

In the event that you hold shares of Eventide Mutual Funds through a financial intermediary, including, but not limited to, a broker-dealer, bank, or trust company, the privacy policy of your financial intermediary would govern how your nonpublic personal information would be shared with nonaffiliated third parties.

Anti-Money Laundering

To help the government fight the funding of terrorism and money laundering activities, federal law requires all financial institutions to obtain, verify, and record information that identifies each person who opens an account.

What this means for you: When you open an account, we will ask for your name, address, date of birth, social security number/ Tax ID number and other information that will allow us to identify you. We may also ask to see other identifying documents. Until you provide the information or documents we need, we may not be able to open an account or effect any additional transactions for you.

When opening an account for a foreign business, enterprise or a non-U.S. person that does not have an identification number, we require alternative government-issued documentation certifying the existence of the person, business or enterprise.

For questions about these policies, or for additional copies of the Eventide Asset Management Privacy Policy Statement, please contact Eventide at **(877) 771-3836** or **www.eventidefunds.com** or at **P.O. Box 541150 Omaha, NE 68154**.

1. Eventide Account Information

Owner's Name (First, Middle, Last)

Social Security Number

Primary Legal Address

Date of Birth (mm/dd/yyyy)

City

State

ZIP

Daytime Telephone

Mailing Address (if different)

Evening Telephone

City

State

ZIP

Email Address

This is a new account. I have completed and enclosed a New Account Application (available at <https://eventidefunds.com/forms/new-account>) with this transfer form.

This is an existing account. Please apply transfer proceeds to my account number: _____

2. Information About the Account Being Transferred

Name of Firm Currently Holding Your Account

Account Name

Street Address

Account Number

City

State

ZIP

Firm Telephone Number

Please attach a copy of the most recent statement for this account.

3. Transfer Information

Please transfer assets from the above account to Eventide Funds. Transfers should be according to the following instructions:

This transfer is a: (check one):

Complete Transfer. Please liquidate all assets in my account.

Partial Transfer Liquidate \$_____ from my account

Transfer in kind:

Please transfer _____ shares of

Fund Name

The type of account I am transferring from is a: (check one)

- Individual
- Joint Tenant
- Transfer on Death
- Trust
- Other

The type of account I am transferring to is a: (check one)

- Individual
- Joint Tenant
- Transfer on Death
- Trust
- Other

4. Certifications and Signatures

I hereby authorize this liquidation and/or transfer in kind from my current financial institution to the account designated on this form. By signing below, I certify the information set forth herein is accurate and I have received and read a prospectus for the funds in which I am making my investment. To the extent that I have requested a redemption of mutual fund shares in connection with my transfer, I understand that such shares will be redeemed at the net asset value next determined after my transfer request is reviewed and determined to be in good order by the delivering firm.

The Internal Revenue Service does not require your consent to any provision of this document other than the certifications required to avoid backup withholding.

Account Owner's Signature

Date (mm/dd/yyyy)

Joint Owner's Signature (if applicable)

Date (mm/dd/yyyy)

Medallion Signature Guarantee* (if required):
Some Firms require a Medallion Signature Guarantee to transfer assets. Please check with your current firm to see if they require a Medallion Signature Guarantee. Failure to obtain a required signature guarantee may result in a delay in the transfer of assets.

*A Medallion Signature Guarantee can be obtained from a bank, broker-dealer, a credit union, a national securities exchange, savings association or other financial intermediaries that are members of an Approved Medallion Guarantee Program. A signature by a Notary Public is not acceptable as a signature guarantee.

5. Transfer instructions

Make check payable to:
Eventide Funds

For Benefit Of (Name)

Account Number

Mail this Transfer Form to:

Eventide Funds
PO Box 541150
Omaha, NE 68154

or

Via Overnight Mail
4221 N. 203rd Street, Suite 100
Elkhorn, NE 68022

TO CONTACT US:

By Telephone

Toll-free (877) 771-3836

In Writing

Eventide Funds
PO Box 541150
Omaha, NE 68154
or
Via Overnight Mail
4221 N. 203rd Street, Suite 100
Elkhorn, NE 68022

Online

WWW.EVENTIDEFUNDS.COM

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Privacy Notice

MUTUAL FUND SERIES TRUST REVISED JUNE 2011

FACTS	WHAT DOES MUTUAL FUND SERIES TRUST DO WITH YOUR PERSONAL INFORMATION?
Why?	Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some, but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.
What?	<p>The types of personal information we collect and share depends on the product or service that you have with us. This information can include:</p> <ul style="list-style-type: none"> ▪ Social Security number and wire transfer instructions ▪ account transactions and transaction history ▪ investment experience and purchase history <p>When you are no longer our customer, we continue to share your information as described in this notice.</p>
How?	All financial companies need to share customers' personal information to run their everyday business. In the section below, we list the reasons financial companies can share their customers' personal information; the reasons Mutual Fund Series Trust chooses to share; and whether you can limit this sharing.

Reasons we can share your personal information:	Does Mutual Fund Series Trust share information?	Can you limit this sharing?
For our everyday business purposes – such as to process your transactions, maintain your account(s), respond to court orders and legal investigations, or report to credit bureaus.	YES	NO
For our marketing purposes – to offer our products and services to you.	NO	We don't share
For joint marketing with other financial companies.	NO	We don't share
For our affiliates' everyday business purposes – information about your transactions and records.	NO	We don't share
For our affiliates' everyday business purposes – information about your credit worthiness.	NO	We don't share
For our affiliates to market to you	NO	We don't share
For non-affiliates to market to you	NO	We don't share

Privacy Notice

MUTUAL FUND SERIES TRUST REVISED JUNE 2011

What we do

How does Mutual Fund Series Trust protect my personal information?

To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards and secured files and buildings.

Our service providers are held accountable for adhering to strict policies and procedures to prevent any misuse of your nonpublic personal information.

How does Mutual Fund Series Trust collect my personal information?

We collect your personal information, for example, when you

- open an account or deposit money
- direct us to buy securities or direct us to sell your securities
- seek advice about your investments

We also collect your personal information from others, such as credit bureaus, affiliates, or other companies.

Why can't I limit all sharing?

Federal law gives you the right to limit only:

- sharing for affiliates' everyday business purposes – information about your creditworthiness.
- affiliates from using your information to market to you.
- sharing for nonaffiliates to market to you.

State laws and individual companies may give you additional rights to limit sharing.

Definitions

Affiliates

Companies related by common ownership or control. They can be financial and non-financial companies.

- Mutual Fund Series Trust has no affiliates.

Non-affiliates

Companies not related by common ownership or control. They can be financial and non-financial companies.

- Mutual Fund Series Trust does not share with non-affiliates so they can market to you.

Joint marketing

A formal agreement between nonaffiliated financial companies

that together market financial products or services to you.

- Mutual Fund Series Trust does not jointly market.

Questions? Call 1-877-771-3836